

# SIP - TRANSACTION INSTRUCTION SLIP

NJ India Invest Pvt. Ltd.

NJ Centre, "B" Tower, Udhna Udhog Nagar Sangh Commercial Complex, Central Road No.10, Udhna, Surat - 394210

Fields Mark with (\*) are mandatory.

\*Partner Code

\*Date

SIP TAR No:

### Client Details:

\*Client Name:

\*Unique Client Code (UCC):

\*Demat Account No:

PAN:

Sr. No.	*Scheme Name	*Option (Please tick any one below option)			Frequency
		Div Payout	Div Reinvest	Growth	
1.					Monthly
2.					Monthly
3.					Monthly
4.					Monthly
5.					Monthly

*Scheme	SIP Date	No. of Installment	SIP Amount (a)	Brokerage Rate % (b)	Brokerage Amount (c)	Total (a) + (c)
Scheme 1						
Scheme 2						
Scheme 3						
Scheme 4						
Scheme 5						
<b>Total Amount to be Debited from Bank (Scheme 1+2+3+4+5)</b>						

### \*Service Category (Please tick any one option):

Execution **OR**  Advisory

### Bank Detail:

\*Bank Name:

\*Account Number:

The above given bank should be registered with Trading Account.

I / We have read and agreed to the SIP Terms and Conditions and will be solely responsible for any consequences in case of not adhering to any instructions mentioned in the Terms & Conditions.

Client Signature:

(Please Tear Here)

### Acknowledgement Receipt

Client Name:

Total SIP Amount:

Date:

Unique Client Code (UCC):

Time:

This is an acknowledgement that the TIS is received at NJ PSC on the Date & Time mentioned above. However Transaction will be processed subject to the successful verification of the TIS by NJ official.

Signature/Stamp of NJ PSC



**FINANCIAL  
PRODUCTS  
DISTRIBUTORS  
NETWORK**

# SIP - TERMS & CONDITIONS

1. The Client submitting the Transaction Instruction Slip to NJ India Invest Private Limited (NJ) shall all the time abide by these Terms and Conditions.
2. The SIP will be registered pursuant to the details given in the TIS (Transaction Instruction Slip) & incomplete TIS or incorrect information may be subject to rejection by NJ.
3. NJ will check the correctness of TIS & other documents submitted before registration of Client SIP(s).
4. Amount debited from Client's bank account for SIP transaction(s) shall include Brokerage charges, if any.
5. The Client should ensure that the Bank details mentioned in the TIS is the same as registered in Client's Trading Account.
6. Any correction / alteration in the TIS should be counter signed by the Client.
7. Signature on TIS should match with signature of the Trading & Demat Account opening form.
8. Client can register for the SIPs which are offered by NJ & are available on Exchange(s).
9. It would be the Client's responsibility to confirm with the minimum investment criteria, Frequency & Dates before submitting the TIS. Any AMC(s)/schemes which are not offered by NJ & are not available on Exchange(s) shall be rejected.
10. The Brokerage rate specified on the TIS will be considered final for specified SIP(s).
11. The SIP will be registered on Exchange(s) and the SIP transaction(s) will commence on the Exchange(s) platform only on receiving approval of Mandate registration by the service provider to NJ.
12. SIP shall commence after 30 days from the date of submission of TIS and/or Bank Mandate at NJ PSC as per the SIP Start Date selected. e.g. Mandate is submitted on 10-12-2010 & the SIP Start Date is 15th, then the SIP start date will be 15-01-11.
13. Depending upon location of clients bank branch, Client's Bank Account shall be debited before 3 to 5 working days prior to SIP transaction date on Exchange(s). eg: if the SIP transaction date is 15th of every month, client bank account shall get debited between 10th to 12th date.
14. In case if client SIP fund is realised in NJ account after SIP due date, Client's SIP transaction will be cancelled by NJ and such transaction shall be processed as "Purchase" on the date of realisation of the funds. If for any reason, "Purchase" transaction could not be processed, the funds will be refunded to Client's bank account.
15. If the transaction(s) are delayed / not processed due to any reason including delay / non realization of the funds to NJ India Invest Pvt Ltd from client's bank account, NJ owes no responsibility to client or any third party, whatsoever.
16. If the transaction(s) are delayed / not processed due to any reason on the SIP due date, no back dated NAV will be given in any circumstances whatsoever.
17. In the case, where Bank Mandate is already registered and Client desires to start additional SIP against the existing Mandate Limit, SIP start date will be after 10 working days from the date of submission of TIS at NJ PSC as per the SIP Start Date selected (applicable in case an open mandate is given).
18. Maximum Upper Limit: Cumulative SIP amount on a particular date shall not exceed the Upper limit mentioned on the Bank Mandate. Eg: if the upper limit mentioned on the Bank mandate is ₹10,000 & investor has register 3 SIP of ₹5000/- each on 1st 15th & 28th of every month, all the SIP will be successfully registered. But he cannot register a Single SIP of ₹11000/- on a particular date.
19. NJ Reserves rights to change the terms and conditions from time to time.

Client Name:

Unique Client Code (UCC):

Client Signature:

